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A new species of *Platysiagum* from the Luoping Biota (Anisian, Middle Triassic, Yunnan, South China) reveals the relationship between Platysiagidae and Neopterygii

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Abstract — Four complete platysiagid fish specimens are described from the Luoping Biota, Anisian (Middle Triassic), Yunnan Province, southwest China. They are small fishes with bones and scales covered with ganoine. All characters observed, such as nasals meeting in the midline, a key-stone-like dermosphenotic, absence of post-rostral bone, two infraorbitals between dermosphenotic and jugal, large antorbital, and two postcleithra, suggest that the new materials belong to a single, new *Platysiagum* species, *P. sinensis* sp. nov. Three genera are ascribed to Platysiagidae: *Platysiagum*, *Helmolepis* and *Caelatichthys*. However, most specimens of the first two genera are imprints or fragmentary. The new, well-preserved specimens from the Luoping Biota provide more detailed anatomical information than before, and thus help amend the concept of the Platysiagidae. The Family Platysiagidae was previously classed in the Perleidiformes. Phylogenetic analysis indicates that the Platysiagidae is a member of basal Neopterygii, and its origin seems to predate that of Perleidiformes. Moreover, platysiagid fishes are known from the Middle Triassic of the western Tethys region. The newly found specimens of platysiagids from Luoping provide additional evidence that both eastern and western sides of the Tethys Ocean were

biogeographically more connected than previously thought.

Keywords: *Platysiagum sinensis*, Platysiagidae, Neopterygii, Middle Triassic, Luoping Biota, southwest China

running head: *PLATYSIAGIUM* FROM TRIASSIC OF CHINA AND NEOPTERYGII

1. Introduction

The Luoping Biota (Anisian, Middle Triassic, Yunnan Province, southwest China) is an exceptional fossil Lagerstätte that contains abundant and diverse marine reptiles, actinopterygians, echinoderms, crustaceans, molluscs, brachiopods, and plants. Many new taxa have been described since it was discovered in 2007 by the Chengdu Center of the China Geological Survey (Zhang & Zhou, 2008). These exceptionally preserved fossils were found in the second member of the Guanling Formation, which is of Anisian age, Middle Triassic (Zhang *et al.* 2008; Zhang *et al.* 2009; Hu *et al.* 2011) (Fig. 1). There are three major quarries, made during large excavations in 2009 and 2015, and these have become scenic spots for the Luoping Biota National Geopark. Currently, thousands of fossil specimens have been obtained and are available at the Land and Resources Bureau of Luoping County for further taxonomic study.

Fossil fishes are the most abundant and diverse taxa among the marine vertebrates of the Luoping Biota. They are mostly well preserved and include Chondrichthyes, Chondrostei, Neopterygii, and Sarcopterygii. Of these, the crown-group Neopterygii includes most taxa in the Luoping fish assemblage, making up nearly 55% of the total, based on counts of the collected specimens. Common elements include the fusiform *Sangiorgioichthys* and *Robustichthys*, naked *Marcopoloichthys* and *Gymnoichthys*, and deep-bodied *Luoxiongichthys* and *Kyphosichthys* (Tintori *et al.* 2007; Tintori *et al.* 2010; López-Arbarello *et al.* 2011; Wen *et al.* 2012; Xu & Wu, 2012; Xu *et al.* 2014a). This assemblage of taxa provides an excellent example of the radiation of neopterygian fishes, a key part of the biotic recovery in the sea after the Permian-Triassic mass extinction (Tintori *et al.* 2007; Lombardo *et al.* 2011; Chen & Benton, 2012; Benton *et al.* 2013; Tintori *et al.* 2014; Romano *et al.* 2016). Basal neopterygians are also quite abundant and comprise mainly Perleidiformes and Peltopleuriformes, namely *Peltopleurus*, *Habroichthys*, *Placopleurus*, *Altisolepis*, *Peltoperleidus*, *Luopingichthys*, *Perleidus*, *Luopingperleidus*, *Fuyuanperleidus*, and *Diandongperleidus* (Sun *et al.* 2009; Lin *et al.* 2011; Lombardo *et al.* 2011; Geng *et al.* 2012).

Saurichthyid fishes are diverse and abundant (Wu *et al.* 2009; Wu *et al.* 2010; Zhang *et al.* 2010). Other groups of fishes are relatively less diverse than Neopterygii, like the stem-actinopterygian *Pteronisculus* (Xu *et al.* 2014b) and coelacanth (Wen *et al.* 2013). *Hybodius*

is the only representative of Chondrichthyes up to now.

Platysiagum sclerocephalum was the first described species of *Platysiagum* (Egerton, 1872) and both species, *Platysiagum minus* and *Platysiagum sclerocephalum*, were included in Platysiagidae by Brough (1939). *Helmolepis gracilis* was considered to be the plesiomorphic sister group of *Platysiagum minus* and *Platysiagum sclerocephalum* by Bürgin (1992). Neuman and Mutter (2005) added *Helmolepis cyphognathus* to Platysiagidae. *Coelathichthys* was first erected as a member of Paleonisciformes by Lombardo (2002). However, it was ascribed to Platysiagidae by Neuman and Mutter (2005), and it was considered to be most parsimoniously closely related to Platysiagidae by Mutter (2005). Consequently, three genera, *Helmolepis*, *Platysiagum*, and *Caelatichthys* were assigned to the Platysiagidae.

These three genera include seven species, which were widespread from the Early Triassic to Early Jurassic over eastern Greenland (Griesbachian), northwest Madagascar (Dienerian to early Smithian), western Canada (Early Triassic), Italy/Switzerland (Anisian-Ladinian boundary, upper Ladinian), and Great Britain (Liassic) (Stensiö, 1932; Brough, 1939; Nybelin, 1977; Bürgin, 1992; Mutter, 2005; Neuman & Mutter, 2005; Kogan & Romano, 2016).

Four specimens among these exceptionally preserved fossil fish materials from the upper fossiliferous layers of the Luoping Biota are assignable to Platysiagidae (Fig. 1), all of which occur, as noted, in the western Tethys region. The new specimens therefore represent the first record of platysiagid fishes from the eastern Tethyan region. In addition, the clade Platysiagidae remains problematic in terms of classification. The new, well-preserved specimens provide more detailed anatomical information than ever, and thus could help better understand the nature of this family and its position among Neopterygii.

2. Materials and Methods

2.a. Materials

The materials under study are housed at the Chengdu Center of the China Geological Survey (CGS). They include four specimens preserved in micrite, all of which have similar standard lengths of about 43 mm. The first one is the best-preserved specimen (LPV-11797, holotype).

The second is an almost complete specimen (LPV-11014, paratype). The third specimen, LPV-10302, lacks its anal and caudal fins distally. The cheek, gular, and fin regions are broken in LPV-33426. The specimens were collected from the same strata and assigned to the same species because of the structure of the dermal bones and fin elements.

2.b. Methods

All specimens were prepared with needles under a microscope (Leica M80) at the Chengdu Center of China Geological Survey. Photos were taken using a Nikon D800 camera. Illustrations were drawn manually using Coreldraw X4. A cladistic parsimony analysis was conducted with TNT 1.5 (Goloboff, Farris & Nixon, 2008), using the traditional search settings and TBR branch swapping, and the strict and majority-rule consensus trees were calculated, with bootstrap values (1000 replicates) and Bremer supports for each node. All characters were treated as unordered and equally weighted. Characters were coded mainly based on Xu *et al.* (2015) and published data, together with codings of *Platysiagum minus* based on Brough (1939), Bürgin (1992, 1996) and specimens in the Natural History Museum, London (holotype NHMUK P.19408 and paratype NHMUK P.19420 of *Platysiagum minus*), *Helmolepis* based on Mutter (2005), Neuman & Mutter (2005), and *Altisolepis* based on Mutter & Herzog (2004), Sun *et al.* (2015) and our new specimens.

2.c. Anatomical Nomenclature

The terminology used for dermal skull bones here follows the traditional approach rather than implying strict homologies using ‘frontal’ instead of ‘parietal’ and ‘parietal’ instead of ‘postparietal’ (Wiley, 2008) to make comparisons to previously described taxa. The scale counts are expressed in a scale formula following Westoll (1944).

2.d. The usage of ‘Neopterygii’

The monophyly of Neopterygii is well-supported by morphological characters (e.g. Patterson, 1982; Olsen, 1984; Gardiner, 1985; Gardiner & Schaeffer, 1989; Olsen & McCune, 1991; Coates, 1998, 1999; Arratia, 2001; Cloutier & Arratia, 2004; Gardiner *et al.*, 2005; Hurley *et al.*, 2007). The only exceptions are studies by Jessen (1973) based on quantitative analyses

indicating closer relationships between chondrosteans and teleosts, and Hurley et al. (2007) based on mitochondrial data supporting an ‘ancient fish clade’ rather than a monophyletic Neopterygii. However, most other molecular analyses also support monophyletic Neopterygii (e.g. Betancur-R et al., 2013). We consequently use the term ‘Neopterygii’ here in a [strictly](#) phylogenetic context based on morphological characters including extant and extinct taxa (e.g. Xu et al., 2014c), which excludes Chondrostei from this clade, but unites both in a [larger](#) monophyletic clade as sister groups.

3. Systematic palaeontology

Class Osteichthyes Huxley, 1880

Infraclass Actinopterygii Cope, 1887

Superdivision Neopterygii Regan, 1923

Family Platysiagidae Brough, 1939

Genus *Platysiagum* Egerton, 1872

Emended Diagnosis (Bürgin, 1992, 1996; Neuman & Mutter, 2005)—Small to large-sized (52 to 600 mm in total length) actinopterygians. Elongate fusiform body with a deeply forked, equilobate and hemi-heterocercal caudal fin. Dorsal and anal fins segmented entirely. Head characterized by a large and broad preoperculum and a maxilla with a long and narrow posterior plate. Dermohyal present. The terminal axial scale lobe reaches over half of the upper caudal fin lobe length. No epaxial rays. Fin rays branch distally. Fringing fulcra on the surfaces of marginal fin rays. Scales with smooth surfaces and serrated posterior border.

Type species. *Platysiagum sclerocephalum* (Egerton, 1872)

Type locality and type horizon. Early Jurassic of England (Lyme Regis, Dorset)

Stratigraphic and geographic distribution. Anisian-Ladinian of the Besano Formation (Lombardy, North Italy; Canton Ticino, Switzerland). Early Jurassic of England (Lyme Regis, Dorset).

Platysiagum sinensis sp. nov.

Holotype. LPV-11797, a complete specimen, laterally compressed, showing the best-preserved skull and paired and unpaired fins.

Paratype. LPV-11014, an almost complete specimen, lacking the distal part of the caudal fin.

Referred specimens. LPV-10302, lacking its anal fin and caudal fin distally. LPV-33426 with broken cheek region, gular region and fin system.

Type locality. Daaози Village, Luoxiong Town, Luoping County, Qujing City, Yunnan Province, China.

Stratigraphic distribution. Member II, Guanling Formation, Middle Triassic (*Nicoraella kockeli* Zone, late Pelsonian, middle-late Anisian).

Etymology. The species name is the Latin adjective “sinensis”, meaning from China.

Diagnosis. Small-sized platysiagid, average standard length is 43 mm (average total length is 52 mm). Nasals large, meeting in the mid-line. Post-rostral absent. Preoperculum broad dorsally. Dermosphenotic key-stone in shape. Suboperculum larger than operculum or of equal size. Dermohyal present and triangular in shape. No supraorbital. Two small infraorbitals between dermosphenotic and jugal. Tiny sharp teeth distributed on both maxilla and dentalosplenic. Maxilla slightly shorter than dentalosplenic, with posterior region curved downward. Premaxilla slender. Last branchiostegal modified. Medial gular ovoid in shape and larger than lateral gular. One pair of extrascapulars. Two postcleithra. Fin rays entirely segmented with fringing fulcra. No epaxial rays. Scales extend to the upper lobe of caudal fin. Squamation formula is D18/P12, A21, C31/T35. Anterior flank scale rows deepened. Posterior margins of scales serrated.

4. Description

4.a. Skull roof

The dermal bones belonging to the skull roof are complete in the holotype. The long frontals occupy the main part of the skull roof. It extends from the middle part of the otic region to the ventral margin of the parietal, equal to the position of the first 2/3 of the length of the dermopterotic. Its greatest depth appears at the postero-dorsal corner of the orbit. The parietals are triangular in shape and suture to the frontals. The boundary between frontal and parietal is not obvious in specimen LPV-10302. There is only one pair of extrascapulars, which are slender and wedge-like in shape. The triangular posttemporals have round posterior borders. The supratemporal sensory canal runs straight across the extrascapular and extends to the posttemporal (Fig. 2b). The dermopterotic is long and narrow, connecting with the parietal and frontal ventrally. The supraorbital sensory canal runs through the frontal and extends caudally to the parietal, following the basic pattern seen in many basal actinopterygians (Neuman & Mutter, 2005). All dermal bones of the skull roof are ornamented with flattened, irregular ridges and tubercles.

4.b. Snout

The rectangular nasal bones are large, being half the length of the frontals. The nasal is longer than wide, forming the rostral border of the orbit. In specimens LPV-10302, LPV-11014 and LPV-33426, the nasals of both sides are exposed. They meet in the midline. The boundary between the left and right nasals is not very obvious in LPV-33426 due to the strong ornamentation (Fig. 5b). The left nasal in specimen LPV-10302 twists inwards. No post-rostral was detected in any specimen, which is different from most other perleidid fishes and “Palaeonisciformes”. The shape of the rostral is preserved in specimen LPV-11014. A distinct notch for the anterior nostril is present at the middle level of the lateral margin of this bone. The rostral widens medially, reaches its maximum width (dorso-ventral extension) just anterior to the nostril notch, and then narrows anteriorly, with the ethmoid sensory canal running transversely through the widest portion of this bone. No teeth were detected. In the

holotype, only a triangular part of the rostral is preserved, overlapping the rectangular antorbital.

4.c. Opercular apparatus

The operculum is large, conspicuously deeper than wide and has a round dorsal margin. The antero-dorsal margin of the operculum abuts against a triangular dermohyal. The suboperculum is equal in size to the operculum. Both anterior borders of operculum and suboperculum are concave to connect with the preoperculum. The preoperculum is a large, wedge-shaped bone with a broad dorsal portion and a somewhat pointed ventral limb. The preopercular sensory canal is located along the posterior margin and branches into at least six extremities in the dorsal portion in the holotype. The ventral margin of the preoperculum is also concave and contacts the maxilla. Below the preoperculum, there is a separate bone in the holotype. It is, however, impossible to identify it either as a quadratojugal or as a fragment of the peroperculum (Fig. 2). The tubercles and ridges in the opercular apparatus bones are less pronounced than on other dermal bones of the skull.

4.d. Gular region

The oval median gular is well preserved as well as the lateral gular. There are 7-8 branchiostegal rays present. They are triangular in shape with an acute rostral corner and a convex ventral margin. The uppermost branchiostegal ray is modified. It directly connects to the ventral margin of the suboperculum in specimens LPV-10302 and LPV-11797 (Figs 2, 4). The gular region is ornamented in the same way as the elements of the skull roof.

4.e. Circumorbital series

The dermosphenotic seemingly is present in all of our specimens, displaying some conspicuous pores for the connection of the supratemporal commissural canal (Figs 3, 4). It is a key-stone-shaped bone and resembles that of *Perleidus canadensis* (Neuman, 1986; note that Neuman & Mutter [2005] considered it a *nomen nudum*) and *Caelatichthys* (Lombardo, 2002). No supraorbital exists, based on all of our specimens. One elongated bone is found in the holotype, but there is no ornament on its surface, and it is covered by the dermosphenotic

at the dorsal corner. So, it is better to interpret it as part of the sclerotic ring.

The infraorbitals consist of distinct jugal, lachrymal, and antorbital bones. The jugal is sickle-shaped, overlapping the postorbital part of the maxilla. A long and slender lachrymal is attached to the jugal. The most anterior bone in the circumorbital series is the antorbital. It seems that there are two small fragments of infraorbitals that connect the dermosphenotic and jugal bones in both specimens LPV-11014 and LPV-10302. Sensory canals are conspicuous on the skull of LPV-11014. The infraorbital sensory canal has five branches at the posterior corner of the jugal, which is similar to that of the preoperculum. The antorbital bears the commissure of the supraorbital and infraorbital sensory canals (Fig. 3).

4.f. Upper and lower jaws

The anterior part of the maxilla, which is located below the orbit, is narrow and bent upward. Its postorbital part is not expanded dorsally, but it is strongly inclined downward, overlapping the dentalsplenial bone. The inclined part is about one third of its total length. Its dorsal corner is concave, which is always overlapped by the jugal (LPV-11014). The maxilla is slightly shorter than the dentalsplenial. The premaxilla is preserved in our holotype. It is slender and seemingly edentulous, overlapping the maxilla (Fig. 2a, b). There is an expanded bone ventrally to the antorbital in LPV-11014. It is located to the right of the premaxilla, and according to its shape, ornamentation, and location, it may represent the anterior and slightly dislocated part the left dentalsplenial in medial view (Fig. 3a, b). The dentatoplenial is a long bone with a slender angular bone posteriorly. The coronoid process is not very conspicuous; only a swelling part can be observed in both holotype and paratype. Longitudinal ridges cover the surface of the dentalsplenial except the smooth swelling part, where the adductor mandibulae muscles inserted. The mandibular sensory canal runs along the ventral margin of the dentalsplenial. Tiny and pointed teeth are distributed along almost the entire length of the maxilla. The teeth on the dentalsplenial are similar in size to those on the maxilla. The jaw articulation is not exposed in any of our specimens. The parasphenoid is exposed in the holotype and paratype. Several blunt teeth can be observed distributed on the ventral surface of the entopterygoid in LPV-11014 (Fig. 3).

4.g. Pectoral girdle and fins

The pectoral girdle is best exposed in LPV-10302 (Fig. 4a, b). The cleithrum is very strong. Its dorsal limb is narrowed to a tip. The ventral limb of the cleithrum is broad, with a posterior notch for the pectoral fin. The oval supracleithrum bears the sensory canal passing through the posttemporal to the flank scales. Its anterior margin is overlapped by the operculum. Two postcleithra can be observed. The upper one is rectangular and the lower one is triangular. A clavicle is present rostral to the cleithrum. The cleithrum and supracleithrum are overlapped by the operculum and suboperculum in both the holotype and LPV-11014. The ventral line of the cleithrum in LPV-33426 is broken. The surfaces of the cleithrum and supracleithrum are ornamented by inclined ridges.

The pectoral fins are small and consist of at least 13 completely segmented rays. The uppermost spinous ray is un-jointed. The fin rays branch distally. Fringing fulcra are not visible in any of our specimens.

4.h. Pelvic girdle and pelvic fins

The pelvic girdle is not preserved in any of our specimens. The pelvic fin is small, inserting at about the 12th scale row. It is closer to the anal than to the pectoral fin. Nearly ten fin rays can be counted. They are entirely segmented and distally branched. Fringing fulcra are preserved on the surface of the marginal fin rays in LPV-10302 (Fig. 4a).

4.i. Unpaired fins

The dorsal and anal fins are well preserved in the holotype and LPV-11014. The dorsal fin is situated at about the 18th scale row, containing at least 16 segmented rays. It is closer to the pelvic fin than to the anal fin. The anal fin originates at about the 20th scale row with about 12 segmented rays. The radial bones of the dorsal and anal fins are exposed in the holotype. Each radial supports several rays, which is different from perleidid fishes (Fig. 6). Both dorsal and anal fins are preceded by a series of basal fulcra and fringing fulcra posteriorly. Fringing fulcra lie on the surface of marginal leading rays. Fin rays branch at least once distally.

4.j. Caudal fin

The holotype has the most complete caudal fin. It is deeply forked and of hemiheterocercal type with 33 segmented fin rays. They are branched at least twice distally. The upper lobe of the caudal fin is hemmed by about 10-11 basal fulcra and smaller fringing fulcra. The lower lobe of the caudal fin bears only one basal fulcrum and smaller fringing fulcra (Fig. 7). There are no epaxial rays.

4.k. Squamation

There are 34-35 vertical and 15-16 longitudinal scale rows that can be counted at the level of the dorsal fin. The squamation formula is D18/P12, A20, C30/T34. The lateral line runs slightly above the mid-lateral level of the body. The first ten rows of vertical scales are deepened. The depth of the exposed surface is two-thirds longer than its width. The ratio reaches its highest value at the longitudinal scale row beneath the scale row bearing the lateral line. It decreases posteriorly and ventrally, so that the posterior scales are rhombic in outline. In the scales around the pelvic fin, the width is greater than the depth (holotype). There is a long terminal axial scale lobe, which runs along the base of the dorsally situated basal fulcra (Fig. 6). The surface of all scales is smooth and most scales have a serrated posterior margin. The serrated margin is weaker in the peduncle region. Some of them have an unserrated posterior margin. Those scales in the dorsal and ventral regions are rhombic with a ridge protruding from the postero-ventral corner. Scutes appear in front of the pelvic fin, dorsal fin, and both upper and lower lobes of the caudal fin. Peg-and-socket articulations are observed on the scales near the anal fin of the holotype (Fig. 6b, d, black arrows).

5. Discussion

5.a. Assignment to Platysiagidae, and their relationships

The new [specimens](#) from the Luoping Biota undoubtedly belong to the clade Platysiagidae because the nasals meet in the mid-line, the post-rostral is absent, the preoperculum is dorsally broad, and the suboperculum is larger than the operculum or of equal size. Further, the shape of the maxilla, number of branchiostegal rays (7-8) and squamation identify it as belonging to the genus *Platysium*. The number of branchiostegal rays in *Platysium sinensis* sp. nov. also resembles *Platysium minus* (7-8), and is more than in *Helmolepis*

gracilis (6), and is less than in *Helmolepis cyphognathus* (usually 9, even 11) and *Caelatichthys nitens* (11). The gular region is not preserved in *Helmolepis manis* (Mutter, 2005).

Platysiagidae is a clade of small to medium-sized actinopterygian fishes with enlarged uppermost branchiostegal rays, a dorsally broad preoperculum, absent post-rostral bone, nasals meeting in the midline, scales extending to the upper lobe of the caudal fin, and a hemi-heterocercal caudal fin. They were previously classified as “subholosteans” (Brough, 1939), and then thought probably to be members of the *Peltopleurus*-group (Gardiner & Schaeffer, 1989). The characters used to diagnose the *Peltopleurus*-group, however, cannot be found in *Platysiagum* (Neuman & Mutter, 2005). Subsequently, platysiagids were considered to be perleidid fishes, bearing both plesiomorphic and derived features (Bürgin, 1992). *Platysiagum* displays similarities with cf. *Perleidus* and *Perleidus canadensis* according to the description of Lower Triassic materials from western Canada (Schaeffer & Mangus, 1976; Neuman, 1986). Bürgin (1992) suggested that Platysiagidae should include cf. *Perleidus* and *Perleidus canadensis*, but this opinion was later rejected (Neuman & Mutter, 2005 considered they are *nomina nuda*). *Platysiagum* conversely was assigned to Perleidiformes because it resembles members of this group in many aspects, for example in having the suboperculum slightly larger than the operculum or of equal size, the dorsally broad preoperculum, the dermohyal present, and the maxilla, which still is attached to the preoperculum (Bürgin, 1992). Although the enlarged last branchiostegal ray was thought to be an incipient interoperculum in *Platysiagum minus* by Bürgin (1992), it certainly is not a real one. Further, the absence of a post-rostral, nasals meeting in the midline, each radial supporting several rays, and the absence of epaxial rays make Platysiagidae distinct from perleidid fishes. Some vestigial epaxial rays were mentioned in *Helmolepis cyphognathus*, but it is not obvious just from the figure (Neuman & Mutter, 2005:fig. 6).

The fixed maxilla, numerous branchiostegal rays, entirely segmented fin rays, and the relationship between radials and fin rays all resemble features of “palaeoniscid” fishes (Brough, 1939). The type species *P. sclerocephalum* is incomplete, and its caudal region is almost completely absent. Its head is typically palaeoniscid based on the jaw and opercular region. However, the tail of *Platysiagum sinensis* sp. nov. is distinct from the full heterocercal

condition. The absence of the post-rostral, the dermosphenotic, which is not in contact with the nasal, the maxilla with inclined postorbital part, and the presence of the premaxilla also differentiates it from “Palaeonisciformes” (like *Pteronisculus*, *Palaeoniscum* and *Ptycholepis*).

Helmolepis is undoubtedly the sister taxon of *Platysiagum* because of the absence of the post-rostral, nasals meeting in the midline, shape of the maxilla and preoperculum, and the medial gular and hemi-heterocercal caudal fin. *Caelatichthys* is different from *Platysiagum* and *Helmolepis* in the shape of the rostral, two postorbitals, and a more inclined preoperculum (Lombardo, 2002; Neuman & Mutter, 2005). Although those differences were interpreted as of amblypterid type (Mutter, 2005), our phylogenetic analysis (Fig. 9) suggests that *Caelatichthys* cannot be included in Platysiagidae any longer, which is consistent with Lombardo (2002).

The shape of the dermosphenotic is uncertain in previous specimens of *Helmolepis* and *Platysiagum* (Brough, 1939; Bürgin, 1992; Mutter, 2005; Neuman & Mutter, 2005). However, the dermosphenotic is well preserved in all of our specimens. Besides, the premaxilla was not well described in either *Platysiagum* or *Helmolepis* due to poor preservation. In *Helmolepis cyphognathus*, the premaxilla was thought to have existed and maybe was fused with the rostral (Neuman & Mutter, 2005), but its exact shape is unknown. A slender premaxilla is preserved in the holotype (LPV-11797). Two infraorbitals are present between the dermosphenotic and jugal, the maxilla is slightly shorter than the dentalosplenial, premaxilla and clavicle present, and two postcleithra, as a combination of characters, confirms that this is a new species of *Platysiagum*. One radial support for two fin rays and entirely segmented fin rays suggest that it is more plesiomorphic than previously assumed (Mutter, 2005). Nasals meeting in the midline can be seen in some other basal actinopterygians, such as *Manlietta*, *Procheirichthys* and *Mendocinichthys* (Neuman & Mutter, 2005). This is also seen in *Paraperleidus changxingensis* from South China, dated as Griesbachian (Zhao & Lu, 2007). Maybe this consequently cannot be considered as a synapomorphic character in Platysiagidae. The shape of the maxilla resembles that in some “perleidid” and peltopleurid fishes, like “*Perleidus canadensis*”, *Meridensia* and *Altisolepis* (Neuman, 1986; Bürgin, 1992; Sun *et al.* 2015). The position of the supraorbital described in *Platysiagum minus* is the same as that in

Platysiagum sinensis sp. nov. Additionally, there is also no sculpture on its surface. As a result, it is more appropriate to interpret the supraorbital with a question mark in *Platysiagum minus* as a sclerotic ring (Bürgin, 1992). The four supraorbitals identified in *Helmolepis gracilis* by Mutter (2005) are not so clear. There is no supraorbital described in *Caelatichthys*. Maybe the absence of a supraorbital is a synapomorphic character in the platysiagid group. No distinct coronoid process exists, which is similar to the condition seen in *Platysiagum minus* (Bürgin, 1992). Besides, the new species of *Platysiagum* is the smallest species within Platysiagidae, with a standard length of 43 mm and total length of 60 mm. The type species *P. sclerocephalum* is a very large platysiagid, with total length 600mm. The size of the new species is most like *Helmolepis manis*, with a standard length of 53 mm, and *Helmolepis cyphognathus*, with a common total length of 60 mm.

5.b. Broader significance of the find

The new finds from the Luoping Biota confirm its importance as a major new source of information on marine fossil vertebrates of the Middle Triassic (Hu *et al.* 2011). Further, the fact that *Platysiagum* is a neopterygian, albeit a basal one, confirms the significance of the dominance of neopterygians among the Luoping fishes. Recent work has corroborated details of the rather slow recovery of life from the catastrophic Permo-Triassic mass extinction (Chen & Benton 2012), with several fitful bursts of evolution among some fast-evolving groups such as ammonoids and foraminifera through the Early Triassic, but with repeated crises caused by sharp global warming crises. Vertebrate remains are rather sporadic in the Early Triassic of China, with well-preserved faunas first appearing in the latest Olenekian at Chaohu and other sites (Benton *et al.* 2013). New marine reptile clades such as ichthyosaurs and sauropterygians then expanded rapidly in diversity, and size and ecological range in the Anisian. The Luoping biota and others of the same age represent the beginning of this explosion of new taxa. Importantly, the rise of neopterygian fishes, once seen as being largely a feature of the Late Triassic and Jurassic (Tintori, 1998), and a key component of the Mesozoic marine revolution (Vermeij, 1977) has now been firmly shifted down to the explosive recovery of life in the first half of the Triassic, following the mass extinction.

6. Phylogenetic analysis

The phylogenetic position of the new species was cladistically tested mainly based on an analysis of the data matrix created by Xu *et al.* (2015). We made six changes. (1) The repeated character 52 has been deleted. (2) Character 56 (Suborbital/maxilla contact absent) has been deleted because too many taxa scored with character 56(1): Suborbital/maxilla contact absent. (3) Characters 10 and 59 have been merged as “Supratemporal-intertemporal/ dermopterotic area” according to Mutter (2011), because most taxa in the matrix do not have suborbitals. (4) “Lateral gulars” is evaluated in the data matrix as character 60. (5) *Perleidus* specimens from the Early Triassic (except those from Southern China) have recently been assigned to *Teffichthys* (Maramà *et al.* 2017), so the genus name is also revised in the data matrix. (6) Three additional genera (*Helmolepis*, *Caelatichthys*, *Altisolepis*) and the new species were added to identify their positions within the platysiagid group and relationships to other stem-group neopterygians. Additional characters employed here come from specimens housed in the Natural History Museum (London) and previous studies (Lehman, 1952; Lombardo, 2002; Mutter & Herzog, 2004; Mutter, 2005; Neuman & Mutter, 2005; Sun *et al.* 2015).

In the phylogenetic analysis, two most parsimonious trees (MPTs) were found. The strict consensus of the two MPTs (Fig. 9) has a tree length of 144, a consistency index of 0.568 and a retention index of 0.747. The tree confirms the monophyly of several clades like Platysiagidae, Cleithrolepididae, and Thoracopteridae. The relationships of some taxa, however, such as the peltopleurids *Altisolepis* and *Peltopleurus*, the perleidid *Perleidus*, *Plesiofuro*, the pseudobeaconiid *Pseudobeaconia*, and *Peltoperleidus*, which are positioned near the base of the crown clade, remain unresolved. The Cleithrolepididae, Platysiagidae, and Pholidopleuridae are positioned basal to these.

The analysis identifies *Platysiagum* as a basal neopterygian, forming with *Helmolepis* the Platysiagidae. The basal position of Platysiagidae (as well as that of Perleidiformes) within Neopterygii found here is in good agreement with the results of Xu *et al.* (2015), Xu & Ma (2016), and Xu & Zhao (2016).

Platysiagidae was previously assumed to be closely related to Perleididae (Bürgin, 1992; Mutter, 2005; Neuman & Mutter, 2005). The shape of the maxilla, entirely segmented fin rays, the relationship between radials and fin rays, and the absence of epaxial rays are characters

identifying this group as more plesiomorphic than Perleidiformes (Perleididae, Polzbergidae, Cleithrolepidae, Gabanellidae, Luganoidae, Pseudobeacniidae and Colobodontidae).

Platysiagidae did not originate from the Perleidiformes, but their ancestor is among more basal groups, confirming the previous hypothesis of Mutter (2005). Mutter (2011) tested the relationships between Ptycholepidae and other Acrolepiformes referred to Platysiagidae. His phylogenetic analysis revealed that Acrolepiformes forms a sister-group relationship together with Ptycholepidae plus Platysiagidae. The characters linking platysiagids with ptycholepids are: fewer than 10 branchiostegal rays; conspicuous enlargement of the first branchiostegal ray; two pairs of extrascapulars; and equal-sized teeth. Although Platysiagidae is more plesiomorphic than previously assumed, it nevertheless is more derived than Ptycholepidae based on the absence of postrostral and intertemporal. *Caelatichthys* was placed in Paleonisciformes by Lombardo (2002), but it was later assigned to the Platysiagidae (Mutter 2005; Neuman & Mutter, 2005). Our result indicates that *Caelatichthys* is more plesiomorphic than Platysiagidae, and thus better excluded from the latter group. *Altisolepis* is also better assigned to the Peltopleuriformes than the Perleidiformes, as suggested by Sun *et al.* (2015).

7. Conclusion

The newly found fish materials from the Luoping Biota, southwest China provide additional anatomical information for the basal neopterygian *Platysiagum*, particularly in the shape of the dermosphenotic, rostral, infraorbitals, and premaxilla, and the relationships between endoskeleton radials and the median fins. The characters confirm that these specimens represent a new species of Platysiagidae. The small teeth and wide gaps between them indicate a diet of small planktonic or nektonic organisms (Bürgin, 1996). The phylogenetic analysis confirms that Platysiagidae is more basal within Neopterygii than Perleidiformes. Although the origin of platysiagids remains unknown, it is, however, an isolated phylogenetic lineage that was diverse in the Triassic. *Platysiagum sinensis* sp. nov. is also the first record of Platysiagidae from eastern Tethys, indicating closer biogeographic relationship between both sides of the Tethys than previously thought.

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Supporting information

Supplementary Text S1 Character list.

(DOCX)

Supplementary Text S2 Data matrix for phylogenetic analysis.

(DOCX)

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FIGURE CAPTIONS

Figure 1. Location map and stratigraphic column.

Figure 2. *Platysiagum sinensis* sp. nov. (a) Photograph of the holotype (LPV-11797). Scale bar = 10 mm. (b) Photograph of the skull. Scale bar = 5 mm. (c) Line drawing of the skull. Scale bar = 5 mm. Abbreviations: ang, angular; ao, antorbital; br, branchiostegal rays; cl, cleithrum; cor, coronoid; den, dentalosplenial; dhy, dermohyal; dpt, dermopterotic; dsph, dermosphenotic; d.pcl, dorsal postcleithrum; exc, extrascapula; ent, entopterygoid; fr, frontal; ifc, infraorbital sensory canal; la, lachrymal; jug, jugal; msc, mandibular sensory canal; mgul, median gular; lgul, lateral gular; ma, maxilla; na, nasal; op, operculum; pa, parietal; pas, parashphenoid; pcl, postcleithrum; poc, preoperculum canal; pop, preoperculum; psph, parasphenoid; pt, posttemporal; qj, quadratojugal; ro, rostral; scc, supratemporal commissural canal; scr, sclerotic ring; scl, supracleithrum; sop, suboperculum; soc, supraorbital sensory canal; v.pcl, ventral postcleithrum.

Figure 3. *Platysiagum sinensis* sp. nov. (a) Photograph of the paratype. Scale bar = 10 mm. (b) Photograph of the skull. Scale bar = 5 mm. (c) Line drawing of the skull (LPV-11014). Scale bar = 5 mm. Abbreviations: ao, antorbital; br, branchiostegal rays; cl, cleithrum; dhy, dermohyal; dpt, dermopterotic; dsph, dermosphenotic; exc, extrascapula; fr, frontal; ifc, infraorbital sensory canal; io, infraorbital; la, lachrymal; lden, left dentalosplenial; jug, jugal; msc, mandibular sensory canal; mgul, median gular; lgul, lateral gular; ma, maxilla; na, nasal; op, operculum; pa, parietal; pas, parashphenoid; pcl, postcleithrum; pop, preoperculum; psph, parasphenoid; poc, preoperculum canal; pt, posttemporal; rden, right dentalosplenial; ro, rostral; scc, supratemporal commissural canal; scl, supracleithrum; sop, suboperculum; soc, supraorbital sensory canal.

Figure 4. *Platysiagum sinensis* sp. nov. (a) Photograph of specimen LPV-10302. Scale bar = 10 mm. (b) Photograph of the skull. Scale bar = 5 mm. (c) Line drawing of the skull. Scale bar = 5 mm. Abbreviations: ang, angular; ao, antorbital; br, branchiostegal rays; cl, cleithrum;

cla, clavicle; den, dentialosplenial; dhy, dermohyal; dpt, dermopterotic; dsph, dermosphenotic; d.pcl, dorsal postcleithrum; exc, extrascapula; ent, entopterygoid; ifc, infraorbital sensory canal; io, infraorbital; la, lachrymal; l.fr, left frontal; jug, jugal; mgul, median gular; lgul, lateral gular; ma, maxilla; na, nasal; op, operculum; pa, parietal; pcl, postcleithrum, poc, preoperculum canal; pop, preoperculum; pt, posttemporal; rfr, right frontal; ro, rostral; scl, supracleithrum; soc, supraorbital sensory canal; sop, suboperculum; v.pcl, ventral postcleithrum.

Figure 5. *Platysiagum sinensis* sp. nov. (a) Photograph of the skull for specimen LPV-33426. Scale bar = 5 mm. (b) Close-up of the nasals of specimen LPV-33426. Scale bar = 2 mm. (c) Line drawing of the nasals meeting along the midline. Scale bar = 2 mm. Abbreviations: na, nasal; soc, supraorbital sensory canal.

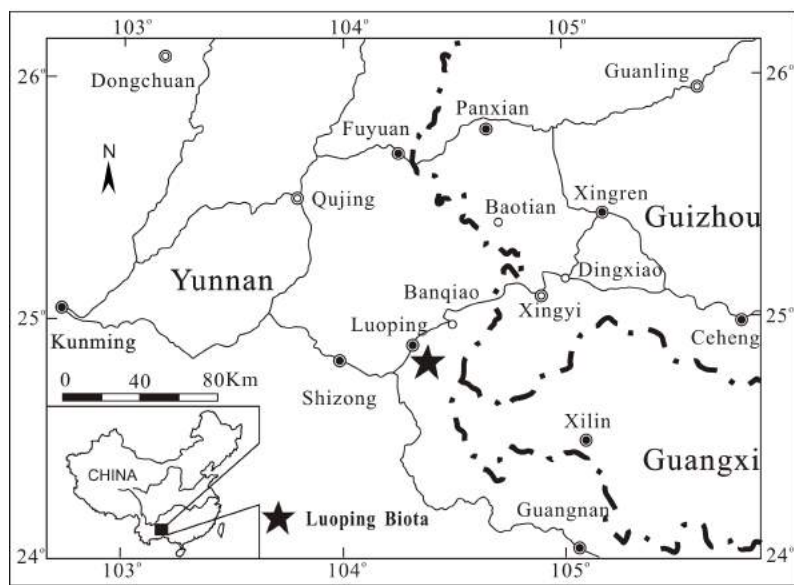
Figure 6. *Platysiagum sinensis* sp. nov. (a) Relationship between radial and fin rays of dorsal fin on holotype with the arrow. Scale bar = 5 mm. (b) Line drawing of the relationship between radial and fin rays of dorsal fin on holotype. (c) Relationship between radial and fin rays of anal fin on holotype with arrow. Scale bar = 5 mm. (d) Line drawing of the relationship between radial and fin rays of dorsal fin on holotype. Peg structures of scales are highlighted by white arrows in line drawings.













Figure 7. *Platysiagum sinensis* sp. nov. (a) Photograph of the caudal fin for the paratype LPV-11014. Scale bar = 5 mm. (b) Line drawing of caudal fin on holotype LPV-11797. Scale bar = 5 mm. (c) Photograph of the caudal fin for the paratype LPV-11014. Scale bar = 5 mm. (d) Line drawing of caudal fin on holotype LPV-11014. Scale bar = 5 mm. Scale line in red colour are the terminal axial scales. Abbreviations: bf, basal fulcra; ff, fringing fulcra.

Figure 8. Reconstruction of *Platysiagum sinensis* based on LPV-11797, LPV-11014, LPV-10302 and LPV-33426. Scale bar = 10 mm.

Figure 9. Strict consensus of 2 trees (TL=144, CI=0.568 and RI=0.747), illustrating the

phylogenetic position of Platysiagidae. Character states supporting the clades include A, 16(1), 17(1), 18(1), 23(0), 37(1) *, 41(1) *, 43(2) *, 72(1); B, 7(1) *, 11(1); C, 35(1) *; D, 23(2), 59(2), 62(2) *; E, 17(1); F, 16(2); G, 8(2); H, 64(1), 69(1) *; I, 33(1) *, 34(1) *; J, 62(0) *, 72(1); K, 43(1) *; L, 5(1) , 6(1) *, 8(1) , 71(1); M, 31(1) , 32(1) *, 36(1) ; N, 37(1) *, 67(1); O, 19(1), 21(1) *, 22(1), 50(1) *; P, 15(1) *, 20 (1)*, 30(1) *, 65(1); Q, 1(0), 14(1), 40(1) *, 42 (1) , 44(1) , 45 (1)*, 46(1) *, 56(1); R, 26(2) *, 39(1), 57(1); S, 12(1), 27(1) *, 54(1); T, 28(1) *, 48(1) , 49(1) *, 61(1)*, 68(2) *. Character states with an asterisk have a CI of 1.0.



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|---|-------------------------|---|--------------------------|
|  | bentonite |  | sandy limestone |
|  | calcareous mudstone |  | bioclastic limestone |
|  | thick-bedded limestone |  | chert nodule limestone |
|  | medium-bedded limestone |  | nodular limestone |
|  | thin-bedded limestone |  | bituminous limestone |
|  | marlite |  | <i>Platysium</i> horizon |

